



The application of the Baker–Venkataraman rearrangement to the synthesis of benz[*b*]indeno[2,1-*e*]pyran-10,11-dione

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Received 4 March 2002; revised 15 April 2002; accepted 25 April 2002

Abstract—A tetracyclic benzocyclopentabenzopyran-4-one was synthesized via a domino reaction involving an initial acroyl transfer as in the Baker–Venkataraman rearrangement. The derived 1,3 diketone underwent the intramolecular acylation followed by cyclization to give the product. © 2002 Elsevier Science Ltd. All rights reserved.

Benz[*b*]indeno[2,1-*e*]pyran-10,11-dione **1a** and analogues have been prepared and studied for enhancing the biosynthesis of erythropoietin (Epo), a hematopoietic growth factor which stimulates differentiation and supports the survival of cells of the erythroid lineage, by Williams's group.¹ Their synthetic route to **1b** involved sulfoxide chemistry and a symmetrical dialdehyde followed by further manipulation which led to benz[*b*]indeno[2,1-*e*]pyran-10,11-dione **1a** (Fig. 1).¹ Similarly, a multi-step synthesis of coniochaetone A **2**, an antifungal cyclopentabenzopyran-4-one from a coprophilous fungus *Coniochaeta sarcardoi*,² involving sulfoxide chemistry was reported by Mori.³

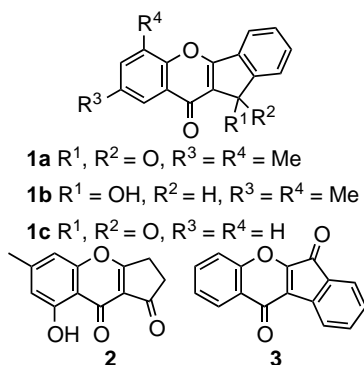


Figure 1.

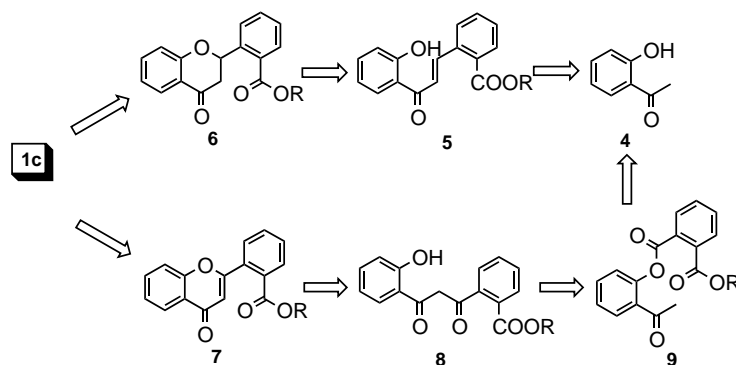
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We have recently reported a successful synthesis of wrightiadione **3**,⁴ a rare and unusual oxygen heterocycle isolated from the bark of *Wrightia tomentosa*,⁵ a medicinal plant of Thailand. The methanol extract of the dried leaves and stems of this plant showed weak activity against human immunodeficiency virus type 1 reverse transcriptase (HIV-1 RT). With this finding and the reported interesting biological activity of benz[*b*]indeno[2,1-*e*]pyran-10,11-dione, we undertook a study of the synthesis of these compounds.

Herein, we present our strategy leading to a short synthesis of **1c** and 2-benzyl benzofuran-3-one **11**. Compound **1c** has been previously synthesized by two routes, however the use of symmetrical intermediates in both of these reports^{1,6} creates difficulties when the indenone moiety is to be substituted.

We envisaged that benz[*b*]indeno[2,1-*e*]pyran-10,11-dione **1c** could be obtained via two synthetic routes as shown in the retrosynthetic analysis in Scheme 1. It was expected that ring closure of the chalcone intermediate⁷ **5** could lead to the flavanone **6** which could undergo further intramolecular acylation and oxidation to give the product. Alternatively, the flavone **7** could be obtained by application of the Baker–Venkataraman rearrangement (BK–VK).^{8,9} Further cyclization either through flavanone **6** or directly could then lead to the required compound.

Having the above ideas in mind, we studied the first route. We attempted to prepare chalcone **6** using a



Scheme 1.

general procedure by treatment of 2-hydroxy acetophenone **4** and phthalaldehydic acid **10** with KOH in MeOH under reflux for 8 h. Acidification (2N HCl) of the mixture yielded not the expected chalcone **5** but the 2-benzyl benzofuran-3-one **11** in 76% yield after recrystallization from ethanol (Scheme 2). The structure of **11** was confirmed using IR and ^1H NMR.¹⁰ The IR spectrum of **11** exhibited a band at 1634 cm^{-1} (C=O of carboxylic group) and a band at 1764 cm^{-1} (C=O of furanone system). The ^1H NMR spectrum of **11** showed a triplet at δ_{H} 6.14 for the methine C-2 proton. The formation of the 2-benzyl benzofuran-3-one **11** can be explained through the preferred 5-*exo* trig cyclization of chalcone **5** (R = H) induced by the electron withdrawing group at the C-2' position rather than the 6-*endo* trig cyclization.

To investigate the second route, acylation of **4** with *mono* methyl phthalate **12** using Steglich esterification¹¹ gave *o*-benzoylacetophenone **9** in 68% yield (Scheme 2).

The BK–VK rearrangement of **9** was carried out with potassium hydroxide in pyridine, under reflux for 30 min. The mixture was then poured into 2N hydrochloric acid solution which led to the precipitation of a yellow solid.

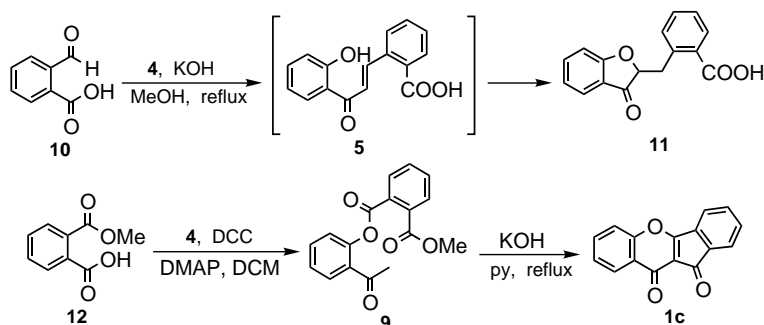
After recrystallization from ethanol, yellow crystals were obtained in 72% yield. The structure of the product obtained under these conditions was elucidated by IR, NMR and MS and it was gratifying to find that

the product was *not* the expected flavanone **7** (R = Me) but the ultimate target compound **1c**.¹² The IR spectrum of **1c** showed a band at 1620 cm^{-1} (C=O of chromone system) and a band at 1710 cm^{-1} (C=O of indenone system) which corresponded with two peaks due to the ketone carbonyls in the ^{13}C NMR at δ 179.2 and 187.6. The mechanism of formation of **1c** could be rationalized as involving formation of the 1,3-diketone intermediate **8** through the BK–VK rearrangement. Intramolecular cyclization of diketone **8** could lead to the 1,3-indanedione **13**. Formation of hemiketal **14** followed by dehydration could then give the product as shown in Scheme 3.

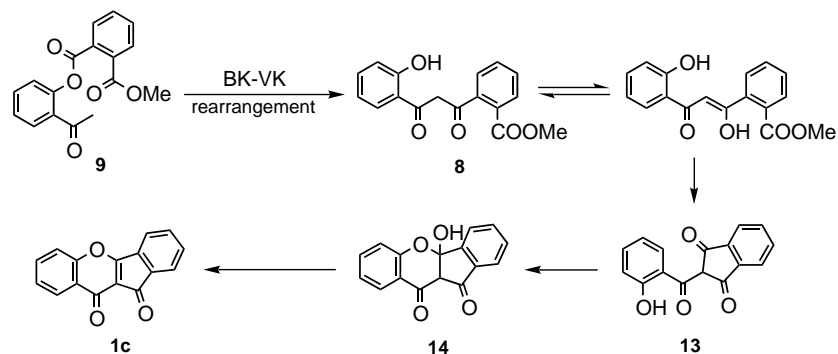
In conclusion, we have developed an operationally simple, highly efficient domino reaction for the synthesis of benz[*b*]indeno[2,1-*e*]pyran-10,11-diones. The method should be amenable to other complex analogues.

Acknowledgements

We acknowledge generous financial support from the Thailand Research Fund (TRF) and the award of Senior Research Scholar to S.R. as well as the award of the Royal Golden Jubilee Scholarship to N.T. We also acknowledge the facilities in the Department of Chemistry provided by the Postgraduate Education and Research in Chemistry programme (PERCH).



Scheme 2.



Scheme 3.

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- 2-Benzyl benzofuran-3-one (**11**) mp (EtOH): 148–150°C; FTIR (KBr): ν_{\max} 3042 (OH), 1764 (C=O), 1634 (C=O), 1470, 1443, 1376 cm^{-1} ; ^1H NMR (200 MHz, CDCl_3) δ 3.44 (dd, 1H, $J=6.4, 17.4$ Hz, $H \beta$), 3.75 (dd, 1H, $J=6.2, 18.0$ Hz, $H \beta$), 6.14 (t, 1H, $J=6.2, 6.6$ Hz, $H 2$), 6.88 (t, 1H, $J=7.4, 8.0$ Hz, $H 5$), 7.00 (d, 1H, $J=8.6$ Hz, $H 7$), 7.59 (m, 5H, ArH), 7.90 (d, 1H, $J=7.6$ Hz, $H 6'$), 12.00 (s, 1H, OH); ^{13}C NMR (50 MHz, CDCl_3) δ 201.7, 170.0, 162.5, 149.3, 137.2, 134.4, 129.8, 129.6, 125.8, 122.6, 119.3, 119.0, 118.7, 76.5, 43.1; MS (EI) m/z 268 (M^+ , 23), 250 (19), 223 (18), 147 (100), 132 (38), 121 (60). HRMS calcd for $\text{C}_{16}\text{H}_{12}\text{O}_4$; 269.0814. Found: 269.0816.
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- Isowrightiadione (**1c**) mp (EtOH): >260°C; FTIR (KBr): ν_{\max} 1710 (C=O), 1620, 1591, 1464, 1385, 1260 cm^{-1} ; ^1H NMR (200 MHz, CDCl_3) δ 7.45 (ddd, 1H, $J=1.3, 6.8, 7.8$ Hz, $H 8$), 7.53–7.74 (m, 6H, ArH), 8.29 (dd, 1H, $J=1.2, 7.6$ Hz, $H 9$); ^{13}C NMR (50 MHz, CDCl_3) δ 187.6, 179.2, 171.6, 155.8, 134.8, 134.1, 133.9, 133.7, 133.0, 127.1, 126.7, 126.5, 123.7, 121.3, 118.6, 111.4; MS (EI) m/z 248 (M^+ , 88), 220 (100), 192 (7), 164 (20), 163 (49). HRMS calcd for $\text{C}_{16}\text{H}_8\text{O}_3$; 248.0473. Found: 248.0474. Anal calcd for $\text{C}_{16}\text{H}_8\text{O}_3$: C, 77.42; H, 3.25%. Found: C, 77.43; H, 3.24%.